



'RPLQLFDQ 8QLYHUVLW\ RI & DOLIRUQLD

* HQHUDO , QIRUPDWLRQ

* HQHUDO \$FDGHPLF 3ROLFLHV DQG

\$FDGHPLF & DW DORJ

-

7DEOH RI &RQWHQWV IRU *HQHUDO
\$FDGHPLF 3ROLFLHV DQG 3URFHGX

3UHVLDHQW¶V...H.O.F.R.P.H.....3

*HQHUDO ,QIRUPDWL.RQ.....427



:HOFRPH WR 'RPLQLFDQ 8QLYHUVLW\ RI &DOLIRUQLD ZKHUH \RX ZLOO GLVF
FXULRVLW\ SURJUDPV WKDW ZLOO KHOS \RX FKDUW \RXU FDUHHU DQG LQ
SDWKZD\V

\$FDGHPLF)UHHGRP

'RPLQLFDQ 8QLYHUVLW\ RI &DOLIRUQLD LV FRPPLWWHG WR WKH RSHQ DQDO\VLV G
WKH SURSRVLWLRQ WKDW HGXFDWLRQ LQYROYHV ERWK DQDO\VLV DQG UH HYDOXD
ZLWK WKH DLP RI FRQVHUYLQJ WKH JRRG H[SORULQJ QHZ ZD\V RI WKLQNLQJ DQG D
PRUH SURIRXQG DUW DQG FUHDWLQJ D PRUH YLWDO VSLULWXDOLW\

'RPLQLFDQ DVVXPHV WKDW LW LV WKH UHVSQRQVLELOLW\ RI DOO IDFXOW\ WR XSKRC
8QLYHUVLW\ FDWDORJ

'RPLQLFDQ 8QLYHUVLW\ RI &DOLIRUQLD *HQHUDO ,QIRUPDWLRQ \$FDGHPLF 3ROLFLHV DQG 3URFHGXUHV

OLVVLRQ

'RPLQLFDQ 8QLYHUVLW\ RI &DOLIRUQLD HGXFDWHV DQG SUHSDUHV VWXGHQWV WR LQFRUSRUDWH WKH 'RPLQLFDQ YDOXHV RI VWXG\ UHIOHFWLRQ FRPPXQLW\ DQG V

*XLGHG E\ LWV &DWKROLF KHULWDJH WKH 8QLYHUVLW\ LV FRPPLWWHG WR GLYHUV VFLHQFHV DQG SURIHVVLRQDO SURJUDPV

'RPLQLFDQ 8QLYHUVLW\ RI &DOLIRUQLD *HQHUDO ,QIRUPDWLRQ \$FDGHPLF 3ROLFLHV DQG 3URFHGXUHV

6XVWDLQDELOLW\ & RXUVHZRUN

.H\ WR \$EEUHYLDWLQRQV

6) 6XVWDLQDE

.H\ WR \$EEUHYLDWLRQV

6) 6 X V W D L Q D E | 65 6 X V W D L Q D E L C

\$ V RI -DQXDU\ F R Q W L Q X H G

3URJUDPV 2IIHUGH

8QGHUJUDGXDW^H 'HJUHHV %\$ %) \$ %6 DQG 5HODWHG 0L^C

'D\ 3URJUDPV

" \$UW 6WXGLR \$UW *UDSKLF 'HVLJQ
" \$UW +LVWRU\
" %LRORJLFDO 6FLHQFH
" %XVLQHV^V \$GPLQLVWUDWL^RQ
" %XVLQHV^V %\$ WR 0%\$
" &KHPLVVU\ DQG %LRFKHP^LVWU\
" &RPPXQLFDWL^RQV DQG 0HGLD 6WXGLH
" 'DQFH
" (QJOLVK (QJOLVK ZLWK D &UHDWLYH :ULWLQJ (PSKDVLV
" *HQGHU 6WXGLVH^WDMRU ZLOO EH GLVFRQWLQXHG LQ
" *OREDO 3XEOLF +HDOWK
" +HDOWK 6FLHQF^WHL*W^QHD^WMD^O ZLOO EH GLVFRQWLQXHG LQ
" +HDOWK 6FLHQFH 3UH 2FFXSDWL^RQDO 7KHUDS\
" +LVWRU\
" +XP^DQLWLHV DQG &XOWXUDO 6WXGLH
" ,QWHUGLVFLSOLQDU\ 6WXGLH
" ,QWHUQDWLRQDO 6WXGLH
" /LEHUDO 6WXGLH
" 0XVLF 0XVLF ZLWK D &RQFHQWUDWL^RQ LQ 3HUIRUPDQFH
" 1XUVLQJ
" 3ROLWLFDO 6FLHQFH
" 3V\FKRORJ\
" 3XEOLF +HDOWK^WMD^WMRU ZLOO EH GLVFRQWLQXHG LQ
" 5HOLJLRQ

\$GGGLWLRQDO 0LQRUV

'D\ 3URJUDPV

" \$PHULFDQ 6WXGLH
" \$UWV 0DQDJH^PHQW
" &RPPXQLW\ \$FWLRQ 6RFLDO &KDQJH
" &RPSDUDWLYH /LWHUDWXUH
" (FRORJ\ (QYLURQPHQWDO 6FLHQFH
" *HQGHU 6WXGLH
" *OREDO ,QQRYDWLRQ DQG (QWUHSUHQHXUVKLS
" /DWLQ \$PHULFDQ 6WXGLH
" /HDGHUVKLS 6WXGLH
" 0DWKHPDWLFV
" 3KLORVR^SK\
" 3UH /DZ
" 3UH 0LQLVWHULD^O
" 6SDQLVK /DQJXDJH
" 6SRUWV 0DQDJH^PHQW

8QGHUJUDGXDW^H &HUWLILFDW^H

" 6SDQLVK /DQJXDJH &HUWLILFDW^H

\$GGGLWLRQDO 'LVFLSOLQHV 2IIHULQJ &RXUVHZRUN

'D\ 3URJUDPV

" 'RPLQLFDQ 6WXG\ \$EURDG '6\$
" 'UDPD
" (FRQRPLFV
" ,QWHUQDWLRQDO /DQJXDJHV
" 3K\VLFDQ (GXFDWLRQ
" 3K\VLFDQ 6FLHQFHV

*UDGXDW H 'HJUHHV 0\$ 0%\$ 0)\$ 06

'D\ DQG (YHQLQJ 3URJUDPV

" %LRORJLFDO 6FLHQFH
" %XVLQHV V \$GPLQLVWUDWLRQ
" &OLQLFDQ /DERUDWRU\ 6FLHQFHV
" &RXQVHOLQJ 3V\FKRORJ\
" &UHDWLYH :ULWLQJ
" (GXFDWLRQ 06 DQG 7HDFKLQJ &UHGHQWLDO 3URJUDPV
" +HDOWKFDUH /HDGHUVKLS 0%\$ 06
" +XP DQLWLHV
" 2FFXSDWLRQDO 7KHUDS\
" 3K\VLFLDQ\PV \$VVLVWDQW 6WXGLHV

:HHNHQG 3URJUDPV

" %XVLQHV V \$GPLQLVWUDWLRQ

\$GXOW 'HJUHH &RPSOHWLRQ 3URJUDP (YHQLQJ :HHNHQG

" %XVLQHV V \$GPQRLW\WBDHMSLRQQJ DGPLVVL RQ DSSOLF DWLRQV LQ
" /LWHUDU\ DQG ,QWHUFXOWXUDO 6WXGLHV
" 0DQDJPHQW
" 3V\FKRORJ\

\$GXOW 'HJUHH &RPSOHWLRQ 3URJUDP 0LQRUV

" (QJOLVK (QJOLVK ZLWK D &UHDWLYH :ULWLQJ (PSKDVLV
" +XP DQLWLHV DQG &XOWXUDO 6WXGLHV
" /HDGHUVKLS 6WXGLHV
" 3V\FKRORJ\

3RVW %DFFDODXUHDWH 1RQ 'HJUHH 3URJUDPV

2IIHUHG IRU ([WHQVLRQ FUHGLW WKURXJK WKH %DURZVN\ 6FKRRO RI %XVLQHV
" /HDGHUVKLS

2IIHUHG IRU ([WHQVLRQ FUHGLW DV UHFRJQL]HG E\ WKH &DOLIRUQLD 6WDWH %RD
" ,QWHUQDWLRQDOO\ (GXFDWHG 1XUVHV

6FKRRO RI \$UWV +XP DQLWLHV DQG 6

2XU 0LVVLRQ

7R HGXFDFWH VWXGHQWV IRU D SURGXFWLYH OLIH LQ D GLYHUVH DQG FKDQJLQJ ZR
HVVHQWLDO WR FLYLF UHVSQRQVLELOLW\ DQG SURIHVVLRQDO VXFFHVV ORUH GLVWI
LQFOXVLYLW\ DQG WKH TXHVVW IRU SHUVRQDO PHDQLQJ DQG VRXQG FKDUDFWHU VI

:H)XOILOO 2XU 0LVVLRQ %\

" 2IIHULQJ PDMRUV DQG PLQRUV JURXQGHG LQ D VWURQJ OLEHUDO DUWV FR
UHTXLUGH IRU VXFFHVV LQ WKH SURIHVVLRQDO ZRUOG
" (PEUDFLQJ WKH 'RPLQLFDQ LGHDOV RI VWXG\ UHIOHFWRQ FRPPXQLW\ DC
IORXULVK
" 3URYLGQLQJ RSSRUWXQLWLHV IRU DFWLYH FRODERUDWLYH OHDUQLQJ DQ
VRXO ZLWKLQ GLYHUVH FRPPXQLWLHV RI OHDUQHUV
" \$WWUDFWLQJ LQFUHDVHG OHYHOV RI UHVHDUFK VXSSRUW E\ HQFRXUDJLQJ
VFKRODUVKLS DQG FUHDWLYH ZRUN
" 5HFRJQL]LQJ H[FHOOHQFH LQ WHDFKLQJ UHVHDUFK DQG VFKRODUVKLS DV
" 1XUWXULQJ WDOHQW DQG HQFRXUDJLQJ SHUVRQDO DFKLHYHPHQW LQ ERWK
" 6HUYLQJ DV DQ HGXFDWLRQDO DQG FRPPXQLW\ UHVRXUFH IRU WKH 6DQ)UD
FRQFHUWV GDQFH SHUIRUPDQFHV DUW H[KLELWLRQV SXEOLF OHFWXUHV
VHUYLH WULSV LQWHUQVKLSV FRPPXQLW\ EDVHG UHVHDUFK SURMHFWV
RWKHU QRQ SURILWV

% DURZVN\ 6FKRRO RI % XVLQHVV

7KH %DURZVN\ 6FKRRO RI %XVLQHVV LV FRPPLWWHG WR HGXFDWLQJ VWXGHQWV WFRUJDQL]DWLRQV WKDW PDNH D SRVLWLYH GLIIHUHQFH LQ WKH ZRUOG 7KH 6FKRRO VXVWDLQDEOH RUJDQL]DWLRQV LQFRUSRUDWLQJ WKH 'RPLQLFDQ GHDOV RI UHIOH MXVWL FH :H EHOLHYH WKDW VXVWDLQDEOH RUJDQL]DWLRQV UHVXOW IURP HWKLF HQWUHSUHQHXUVKLS RU EXVLQHVV SUFWLFHV DQG D JOREDO PLQGVHW

\$W WKH XQGHUJUDGXDWH OHYHO RXU %DFKHORU RI \$UWV LQ %XVLQHVV \$GPLQLVW EXLOGLQJ VXVWDLQDEOH RUJDQL]DWLRQV E\ LQIXVLQJ HWKLFDO OHDGHUVKLS VXV FRQFHQWUDWL RQV DOORZ RXU VWXGHQWV WR SXUVXH D GHJUHH RI VSHFLDOL]DWL OHYHO WKH %DURZVN\ 6FKRRO RI %XVLQHVV RIIHUV D ODVWHU RI %XVLQHVV \$GPL

6PDOO FODVV VLJH DOORZV VWXGHQWV WR LQWHUDFW ZLWK WKHLU SHHUV DQG IDFZLWK RWKHU VWXGHQWV DQG IDFOW\)DFXOW\ HPSKDVLJH DFDGHPLF ULJRU ZLWK DQG SURYLGLQJ RSSRUWXQLWLHV IRU VWXGHQWV WR GLVFXVV WKHLU DVVLJQPHQW

6WXGHQWV DUH HQFRXUDJHG WR WDNH DGYDQWDJH RI WKLV RSSRUWXQLW\ WR HQJRWKHU PHPEHUV RI WKH IDFOW\ 6WXGHQWV OHDUQ WR WKLNQ LQGHSHQGHQWO\ VNLOOV WKDW DUH JURXQGHG RQ DQ XQGHUVWDQGLQJ DQG DFFHSWDQFH RI WKH G

7KH %DURZVN\ 6FKRRO RI %XVLQHVV KDV KLJKO\ UHVSHFWHG SURJUDPV *UDGXDWH JRLQJ RQ IRU DGGLWLRQDO JUDGXDWH VFKRRQ VWXGLHV

3URJUDP /HDUQLQJ 2XWFRPHV

6WXGHQWV ZLOO GHPRQVWUDWH %XVLQHVV .QRZOHGJH &RPSHWHQF\ 8QGHUVWDQG DQG DSSO\ VLJQLILFDQW IDWKH FRUH EXVLQHVV DUHDV RI DFFRXQWLQJ HFRQRPLFV ILQDQFH EXVLQHVV DQDO\VLV &ULWLFDO 7KLQNLQ &RQH &HWWHUHFDUFK DQG DSSO\ DSSURSULDWH LQWHUGLY DQG GHFLVLRQ PDNLQJ VNLOOV LQFOXGLQJ VWDWLVWLDO DQG PDWKHPDWLF /HDGHUVKLS &RPSHWHQF\ 'HYHORS DQG HQKDQFH SHUVRQDO DQG SURIHVVLHQYLURQPHQW LQFOXGLQJ OHDGHUVKLS WHDP EXLOGLQJ DQG FRODERUDWI(WKLFV DQG &RUSRUDWH 6RFQW\ JSHDWSRQH\ \DQG VRFLDO UHVSRCVXVWDLQDELOLW\ LQ GHFLVLRQ PDNLQJ *OREDO %XVLQHVV &RPSHWHQF\ DQG 'LYHUVLW\ ,QFRUSRUDWH WKH LPSDFW DQG SUFWLFHV %XVLQHVV &RPPXQLFDWL RQV &RPSHWHQF\ 'HYHORS HIIHFWL YH RUDO DQG ZU(QWUHSUHQHXULDO DQG ,QQR\ MSOD\ RLHQ FURHDSWHLW\ HQDQG LQQRYDWLYH ZD\ V WR VLQ RUJDQL]DWLRQV

6LJPD %HWD 'HOWD ,QWHUQDWLRQDO +RQRU 6RFLHW\

6LJPD %HWD 'HOWD ,QWHUQDWLRQDO +RQRU 6RFLHW\ UHFRJQL]HV DQG SURPRWHV PDQDJPHQW DQG GPLQLVWUDWL RQ ,WV SXUSR VH LV WR SURPRWH SHUVRQDO D³KRQRUDEOH VHUYL FH WR KXP DQNLQG ' 6LJPD %HWD 'HOWD GPLWV WR PHPEHUVK 6HQLRUV DQG 0%\$ VWXGHQWV ZKR KDYH FRPSOHWHG DW OH DVW RI WKHLU 0%\$ FKDSWHU DOVR UHTXLUVH D PLQLPXP JUDGH SRLQW DYHUDJH

, Q V W L W X W H I R U / H D G H U V K L S 6 W X G L H

3DQHWWD , QVWLWXWH VWXGHQW OHDGHUVKLS SURJUDPV
| 3DQHWWD , QVWLWXWH /HDGHUVKLS 6HPLQDU 7KH LQFRPLQJ 3UHVLGHQW

" % XVLQHVV (GJH % ULHILQJV 6HULHV

'HSDUWPHQW RI &RXQVHOLQJ 3V\FKRORJ\

7KH 6FKRRO RI (GXFDWLRQ DQG &RXQVHOLQJ 3V\FKRORJ\ GHYHORSV SURIHVVLRQD\

6FKRRO RI +HDOWK 1DWXUDO 6FLHQ

OLVVLRQ

7KH 6FKRRO RI +HDOWK DQG 1DWXUDO 6FLHQFHV SUHSDUHV VVWXGHQWV IRU FDUHH
HYHU FKDQJLQJ WHFKQRORJLDOO\ DGYDQFHG ZRUOG 7KH 6FKRRO LV FRPPLWWHC

\$FDGHPLF &DOHQGDU

)DOO 7HUP 'DWHV 6HVVLVRQ \$

\$FWLYLW\)DOO)DOO
3ULRULW\ 5HJLVWUDWLRQ IRU)DOO	WKUX	WKUX
&ODVVHV %HJLQ		
/DERU 'DROLGD\^&DPSXV &ORVHG		
/DVW 'D\ WR \$GG &ODVVHV		
/DVW 'D\ WR 'URuS¶ZLWK¶WKQG		
)DOO %UHDN 'D\ \$FDGHPLF +ROLGD\^&DPS		
/DVW 'D\ WR 'DRuS¶ZLWK		
7KDQNVJLYLQFDGUHPDN +ROLGD\^&DPSXV 2S		
7KDQNVJLYLQRDQUDDN&DPSXV &ORVHG	WKUX	WKUX
/DVW 'D\ RI &ODVVHV		
)LQDO ([DPLQDWLRQV	WKUX	WKUX
*UDGHV 'XH		

6SULQJ 7HUP 'DWHV 6HVVLRQ \$

6SULQJ 7HUP 'DWHV 6HVVLRQ \$ FRQW

\$FWLYLW\	6SULQJ	6SULQJ
)LQDO ([DPLQDWLRQV	WKUX	WKUX
&RPPHQFHPHQW		
*UDGHV 'XH		

6XPPHU 7HUP 'DWHV 6HVVLRQ \$ ZHHNV

\$FWLYLW\	6XPPHU	6XPPHU
3ULRULW\ 5HJLVWUDWLRQ IRU 6XPPHU	WKUX	WKUX
&ODVVHV %HJLQ 6HVVLRQ \$		
0HPRULDOROLGD\^&DPSXV &ORVHG		
/DVW 'D\ WR \$GG 'URS		
, QGHSHQGHQRIOL\^&DPSXV &ORVHG	WKUX	7 % '
/DVW 'D\ RI &ODVVHV		

\$FDGHPLF 3ROLFLHV DQG 3URFHGXUH

\$GYLVLQJ

'RPLQLFDQ VWXGHQWV DUH LQGLYLGXDOO\ VXSSRUWHG DV WKH\ VWULYH WR DFKLH
PHDQLQJIXO DQG GLYHUVH JXLGDQFH PHQWRUVKLS DQG LQWHUDFWLRQ WKURXJK
DGYLVRUUV VWXGHQWV SODQ SURJUDPV WKDW FODULI\ DQG PHHW VXFK JRDOV ,W
SROLFLHV DQG UHTXLUUPHQWV DQG WR PRQLWRU WKHLU SURJUHVV WR WKH GHJU
DGYLVRUUV RQ WKH 'RPLQLFDQ 6HOI 6HUYL FH ZHEVLWH 6WXGHQWV DUH UHTXLUHG
HYHU\ VHPHVWHU

6WDII DGYLVRUUV LQ WKH \$FDGHPLF \$GYLVLQJ DQG \$FKLHYHPHQW &HQWHU SURYLGH
VWXGHQWV ZKR KDYH QRW FKRVHQ D PDMRU DQG WR LQFRPLQJ \$GXOW 'HJUHH &RF
&KDLU RI KLV KHU PDMRU SURJUDP RU DQRWKHU IDFXOW\ RU VWDII PHPEHU LQ WKH

'RPLQLFDQ 8QLYHUVLW\ RI &DOLIRUQLD *HQHUDO ,QIRUPDWLRQ \$FDGHPLF 3ROLFLHV DQG 3URFHGXUHV

5 H J L V W U D W L R Q

3HULRGV RI WLPH DUH VHW DVLGH HDFK VPHVWHU GXULQJ ZKLFK HDFK VWXGHQW SHULRGV DUH OLVWHG LQ WKH \$FDGHPLF &DOHQGDU

2QOLQH 3ULRULW\LS\HRS\WQUMDRVIDROO FRQWLQXLQJ VWXGHQWV ZKR DUH LQ JRRG VWD PLG WHUP LQ WKH VPHVWHU SULRU WR WKH RQH IRU ZKLFK UHJLVWUDWLRQ LV EH

2SHQ 5HJLVWUDWLRQD\ORQJHU SHULRG RI WLPH GXULQJ ZKLFK FRQWLQXLQJ VWXGHQWV PD\ UHJLVWHU &RQWLQXLQJ VWXGHQWV UHJLVWHULQJ GXULQJ WKLV SHULRG FDQ UHJLVWHU YLD D SD SHU IRUP WKURXJK WKH 5HJLVWUDWLRQ 2IILFH 1HZ VWXGHQWV DQG UHJLVWUDWLRQ WR EH GHWHUPLQHG E\ WKH 8QLYHUVLW\ DQQXD0O\

/DWH 5HJLVWUDWLRQ LV RIIHUHG DIWHU WKH FORVH RI 2SHQ 5HJLVWUDWLRQ DV D PHHW WKH GHDGOLQHV RI WKH HDUOLHU UHJLVWUDWLRQ SHULRGV /DWH UHJLVWUDWLRQ IHH /DWH HQUROOPHQW LV VXEMHFW WR VSDFH DYDLODELOLW\ %ZULWWHQ SHUPLVVLRQ RI HDFK LQWUXFWRU

5 HTXLUHPHQWV IRU 5 H J L V W U D W L R Q

5HJLVWUDWLRQ IRU LQFRPLQJ)UHVKPHQ VWXGHQWV LV FRRUGLQDWLG E\ WKH ILUV &HQWHU &RXUVH VFKHGXOHV IRU WKHVH VWXGHQWV DUH VXEPLWWHG WR WKH 5HJ)UHVKPHQ VWXGHQWV SULRU WR UHJLVWUDWLRQ LV WKDW WKH\ KDYH EHHQ DFFHS \$GPLVVLRQV

%HIRUH UHJLVWHULQJ DOO VWXGHQWV DUH H[SHFWHG WR
" OHHW ZLWK WKHLU DFDGHPLF DGYLVRU V
" 3D\ DOO 8QLYHUVLW\ ELOOV GXH RU WR DUUDQJH D VXLWDEOH SD\PHQW SODG
")LOH WKH UHTXLUHG IRUPV \LWK WKH 5HJLVWUDWLRQ 2IILFH

&KDQJHV LQ 5HJLVWUDWLRQ 6HPHVWHU /RQJ &RXUVHV

&RQWLQXLQJ VWXGHQWV PD\ DGG RU GURS FRPJ &R 0p 0P€ Á`p)UHVKPHQ VWXGHQ

•WKHLU GHU` GHUe0 @ • D3DG0D H

HH •WKHL ÁCD HUY „4@ `€ GHU` GHUe0 @ • D3DG0D &RQWLQX UPHHQW P0V @ ` H
0 @ ")UHV `0H Á@ FHUHPHQ ÁF HUY'd` `€ @ Á

•Ue0 @ • @ `€0 Á 0 VS D°¥V °SD V A P0 @S0 @ pS ÁEp1* P 0As?0 CAD L†% HUY†% H[U 0

& K D Q J H V L Q 5 H J L V W U D W L R Q 6 K R U W 7 H U P & R X U V H V

& RXUVH / RDG 8QGHUJUDGXDW H 3URJUDPV

3OHDVH QRWH WKDW WKH WLPH LW WDNHV WR HDUQ D GHJUHH LV GLUHFWO\ LPSDF KRZ PDQ\ XQLWV D VWXGHQW HQUROOV LQ SHU WHUP ,Q RUGHU IRU D IXOO WLPH VWXGHQW PXVW WDNH PRUH WKDQ WKH PLQLPXP XQLWV SHU)DOO DQG 6SULQJ V 6SULQJ VHPHVWHU RU DGGLWLRQDO 6XPPHU FRXUVH ZRUN ZRXOG EH UHTXLUHG W)XUWKHU GHWDLOV UHJDUGLQJ HQVXULQJ D IRXU \HDU) \$ XQW\ KHM\ R GXDU B QWFIRIP S OHFWW FDWDORJ

,W LV VWURQJO\ UHFRPPHQGHG WKDW VWXGHQWV ZKR KDYH QRW \HW PHW WKH ([S] XQLWV SHU VHPHVWHU XQWLO WKLV UHTXLUPHQW KDV EHHQ FRPSOHWHG

\$ VWXGHQW PD\ HQUROO LQ XQLWV ZLWK WKH DGYLVRU\ V DSSURYDO LI WKH\ KD UHFHLYHG DW OHDVW D *3\$ GXULQJ WKDW VHPHVWHU \$ VWXGHQW ZLVKLQJ WR

&URVV 5HJLVWUDWLRQ 8&%HUNHOH\ DQG WKH *UDGXDW
7KH HQUROOHG XQLWV DW 8&%HUNHOH\ DUH WUDQVIHU XQLWV DQG GR QRW FRXQW
HQUROOHG XQLWV DW WKH *78 GR FRXQW WRZDUG D VWXGHQW ¶V HQUROOHPQW VV
)RU IXUWKHU LQIRUPDWLRQ UHJDUGLQJ JXLGHOLQHV IRU FURVV UHJLVWHULQJ DW
8QGHUJUDGXDW DQG *UDGXDW &URVV DQG WRZDUG D VWXGHQW ¶V HQUROOHPQW VV
\$)527& &RXUVHV DW 8&%HUNHOH\
7KH HQUROOHG XQLWV LQ \$)527& FRXUVHV DW 8&%HUNHOH\ GR QRW FRXQW WRZDUG
)RU IXUWKHU LQIRUPDWLRQ UHJDUGLQJ WKH \$)527&&SRRVVUDHPJDWWUD%IHRJQH25VRISQ
RI WKLV FDWDORJ

'RPLQLFDQ 8QLYHUVLW\ RI &DOLIRUQLD *HQHUDO ,QIRUPDWLRQ \$FDGHPFLF 3ROLFLHV DQG 3URFRGXUHV

& RXUVH \$WWHQGDQFH DQG 3DUWLFLS

7KH LQVWUXFWRU HVWDEOLVKHV WKH DWWHQGDQFH SROLF\ IRU D FRXUVH DQG GH
DWWHQGDQFH FRXQW WRZDUG WKH ILQDO JUDGH LQ D FRXUVH \$FDGHPLF ZRUN EH
&DOHQGDU DQG WKH 8QLYHUVLW\ ¶V RQOLQH FRXUVH VFKHGXOH 3HUPLVVLRQ WR P
RI WKH FRXUVH LV DW WKH GLVFUHWLRQ RI WKH LQVWUXFWRU RI D FRXUVH

\$WWHQGDQFH DQG 5HSRUWLQJ

\$WWHQGDQFH DW WKH ILUVW VHVVLRQ RI HDFK FRXUVH LV LPSRUWDQW LI FLUFXP
FRXUVH WKH VWXGHQW PXVW FRQWDFW WKH LQVWUXFWRU LPPHGLDWHO\ \$V VWDV
WKH GLVFUHWLRQ RI WKH LQVWUXFWRU

\$WWHQGDQFH LQIRUPDWLRQ UHFRUGHG E\ LQVWUXFWRU UV IRU WKH ILUVW WZR ZHHM
DGPLQLVWUDWLRQ LQ RUGHU WR HQVXUH FRPSOLDQFH ZLWK IHGHUDO ILQDQFLDO D
HYLGHQFH RI VWXGHQW SDUWLFLSDWLRQ RU DEVHQFH EHIRUH GLVEXUVLQJ DLG IXO
ZLWKRXW WKH H[SUHVV SHUPLVVLRQ RI WKH LQVWUXFWRU PD\ UHVXOW LQ DGPLQLY

6WXGHQW 5HVSRRQVLELOLWLHV

,W LV WKH VWXGHQW\ ¶V UHVSRRQVLELOLW\ WR NQRZ WKH DWWHQGDQFH SROLF\ LQ H

\$ VWXGHQW ZKR NQRZV LQ DGYDQFH WKDW LW ZLOO EH QHFHVVDU\ WR PLVV D FRX
VWXGHQW ZKR LV LOO IRU D SHULRG RI WLPH H[FHHGLQJ RQH ZHHN RU ZKR KDV D I
RI 6WXGHQWV DQG WKH \$FDGHPLF \$GYLVLQJ DQG \$FKLHYHPHQW &HQWHU ZKR ZLOO

6WXGHQWV ZKR UHTXLUH VSHFLDO DFFRPPRGDWLRQ IRU 8QLYHUVLW\ VSRQVRUHG D
DUUDQJH IRU VXFK DFFRPPRGDWLRQ ZLWK HDFK LQVWUXFWRU LQ DGYDQFH DV HDU

,W LV WKH VWXGHQW\ ¶V UHVSRRQVLELOLW\ WR RIILFLDOO\ GURS DGG RU ZLWKGUDZ
,QIRUPDWLRQ UHJDUGLQJ GHDGOLQHV DQG SROLFLHV IRU HQUROOPHQW FKDQJHV F
\$FDGHPLF &DOHQGDU DW ZZZ GRPLQLFDQ HGX

(DFK VWXGHQW LV UHVSRRQVLEOH IRU DOO RI WKH PDWHULDO FRYHUGLQJ LQ D FRXUV
DSSURYHG E\ DQ LQVWUXFWRU WR DGG D FRXUVH DIWHU WKH ILUVW GD\ RI WKH FR
WLPHOLQH IRU PDNLQJ XS DOO ZRUN DQG PHHWLQJ DOO FRXUVH UHTXLUHPHQWV E
FRXUVHV DQG WXWRULDO VHFWLRQV

([DPLQDWLRQV

\$OO VWXGHQWV DUH UHTXLUHG WR WDNH WKH UHJXODUO\ VFKHGXOHG ILQDO H[DPL
PD\ QRW EH WDNHQ LQ DGYDQFH RI WKH VFKHGXOHG WLPH QRU PD\ H[DPLQDWLRQ
JRLQJ KRPH IRU WKH KROLGD\ V HWF ,Q WKH UDUH FDVH WKDW DQ H[DPLQDWLRQ
SHUPLVVLRQ PXVW EH REWDLQHG IURP WKH LQVWUXFWRU 7KH LQVWUXFWRU PDNH
DW D WLPH WKDW LV PXWXDOO\ DFFHSWDEOH 1RUPDOO\ D VWXGHQW LV QRW SHU

([SODQDWLRQ RI & RXUVH 1XPEHUV

& RXUVH QXPEHUV LQGLFDWH WKH OHYHO RI WKH FRXUVH

	/RZHU GLYLVLRQ ,QWURGXFWRU\ FRXUVHV SULPDULO\ IRU)UH /RZHU GLYLVLRQ FRXUVHV EXLOG IRXQGDWLRQDO NQRZOH RXWFRPHV DQG FRXUVH DVVLJQPHQWV DUH GHVLJQHG WR DSSOLFDWLRQ RI NQRZOHGJH
	8SSHU GLYLVLRQ \$GYDQFHG FRXUVHV SULPDULO\ IRU -XQLRU\ 8SSHU GLYLVLRQ FRXUVHV WHDFK DGYDQFHG NQRZOHGJH RXWFRPHV DQG FRXUVH DVVLJQPHQWV DUH GHVLJQHG WR DSSOLFDWLRQ RI NQRZOHGJH \$GGLWLRQDOO\ XSSHU GLY V\QWKHVLV FUHDWLYLW\ DQG RU HYDOXDWLRQ
	*UDGXDWH FRXUVHV DQG 3RVW %DFFDODXUHDWH WHDFKLQJ FU
	8QGHUJUDGXDWH OHYHO FRXUVHV LQ VSHFLDOL]HG ILHOGV WK DFDGHPLF SURJUDPV
	*UDGXDWH OHYHO FRXUVHV LQ VSHFLDOL]HG ILHOGV WKDW PD\ DFDGHPLF SURJUDPV
	& RQWLQXLQJ H*V 'ÈL" A%hRS1•21•23•hS9V...1• y,°Â/P u a•4`P` pÀ €À 0€ `y,,Â!!

& U H G L W + R X U 3 R O L F \

' H I L Q L W \ R Q

&DOFXODWLRQ RI *UDGH 3RLQW \$YHUDJH *3\$

*UDGH SRLQWV IRU HDFK VHPHVWHU XQLW RI ZRUN DUH DVVLJQHG WR WKH JUDGHV

, Q F R P S O H W H * U D G H V

6WXGHQWV VKRXOG FKHFN WKHLU XQRIILFLDO WUDQVFULSW RQ 6HOI 6HUYLFH DIWH
SRLQW DYHUDJH KDV EHHQ DGMXVWHG DSSURSULDWHO\ HVSHFLDOO\ LQ FDVHV RI
FRXUVH QXPEH\$FD6HIRLWKSHQHZDO 3ROLF\

7KHVLV & RQWLQXDWLW\ RI 8QGHUJUDGXDW\H
(DFK GHSDUWPHQW KDV D VSHFLILHG VHW RI 6HQLRU WKHVLV 6HQLRU SURMHFW FR
GHSHQGLQJ RQ WKH QDWXUH RI WKH GLVFLSOLQH DQG WKH PHWKRG RORJ\ WKH GHS
RU SURMHFW & HUWDLQ GHSDUWPHQWV GR QRW SHUPLW WKHVLV FRQWLQXDWLW\Q
FRQWLQXDWLW\Q LQ WKHLU RZQ GHSDUWPHQW

6RPH DFDGHPLF GHSDUWPHQWV SHUPLW VWXGHQWV ZKR GR QRW FRPSOHWH WKH W
VHTXHQFH WR UHJLVWHU IRU RQH VPHVWHU RI WKHVLV FRQWLQXDWLW\Q 7KHUH LV
WXLWLRQ IRU DQ\ RWKHU FRXUVHV LQ ZKLFK WKH VWXGHQW PD\ EH HQUROOHG 6W
GHSDUWPHQW V UHJXODU FRXUVHV RU FRXUVHV VHTXHQFH UHFHLYH D μ35¶ JUDGH :K
SURMHFW WKH μ35¶ JUDGHV DUH UHSODFHG E\ WKH WKHVLV JUDGH 7KHVLV FRQWL

,I WKH VWXGHQW GRHV QRW ILQLVK DW WKH HQG RI WKH WKHVLV FRQWLQXDWLW\Q V
6HQLRU WKHVLV RU FXOPLQDWLQJ SURMHFW FRXUVHV VHTXHQFH ,I WKH VWXGHQW V
WKH\ PXVW KDYH WKH DSSURYDO RI WKH 'HSNUWPHQW & KDLU WR UH HQUROO LQ W
WKH DSSURSULDWH XQLWV DQG SD\ DW WKH WXLWLRQ UDWH WKHQ DSSOLFDEOH

)DPL\ (GXFDWLRQ 5LJKWV 3ULYDF\%XFNOH\ \$PHQGPHQW

7KH)DPL\ (GXFDWLRQDO 5LJKWV 3ULYDF\ \$FW RI) (53\$ DIIRUGV VWXGHQWV UHFRUGV 7KHZH ULJKWV LQFOXGH

7KH ULJKW WR LQVSHFW DQG UHYLHZ WKH VWXGHQW V HGXFDWLRQ UHFRUGV ZLWK IRU DFFHVV

\$ VWXGHQW VKRXOG VXEPLW WR WKH 5HJLVWUDU D ZULWWHQ UHTXHVW WKDW LGHQ ZLOO PDNH DUUDQJHPHQWV IRU DFFHVV DQG QRWLI\ WKH VWXGHQW RI WKH WLPH DUH QRW PDLQWDLQHG E\ WKH 8QLYHUVLW\ RIILFLDO WR ZKRP WKH UHTXHVW ZDV RIILFLDO WR ZKRP WKH UHTXHVW VKRXOG EH DGGUHVVHG

7KH ULJKW WR UHTXHVW WKH DPHQGPHQW RI WKH VWXGHQW V HGXFDWLRQ UHFRUGV PLVOHDGLQJ RU RWKHUZLVH LQ YLRODWLRQ RI WKH VWXGHQW V SULYDF\ ULJKWV

\$ VWXGHQW ZKR ZLVKHV WR DVN WKH 8QLYHUVLW\ WR DPHQG D UHFRUG VKRXOG ZU VWXGHQW ZDQWV FKDQJHG DQG VSHFLI\ ZK\ LW VKRXOG EH FKDQJHG ,I WKH 8QLYHUVLW\ ZLOO QRWLI\ WKH VWXGHQW LQ ZULWLQJ RI WKH GHFLVLRQ DQG WKH \$GGLWLRQDO LQIRUPDWLRQ UHJDUGLQJ WKH KHDULQJ SURFHGXUHV ZLOO EH SURYL

7KH ULJKW WR SURYLGH ZULWWHQ FRQVHQW EHIRUH WKH 8QLYHUVLW\ GLVFORVHV HGXFDWLRQ UHFRUGV H[FHSW WR WKH H[WHQW WKDW) (53\$ DXWKRUL]HV GLVFORV

7KH 8QLYHUVLW\ GLVFORVHV HGXFDWLRQ UHFRUGV ZLWKRXW D VWXGHQW V SULRU VFKRRO RIILFLDOV ZLWK OHJLWLPDWH HGXFDWLRQDO LQWHUHVWV \$ VFKRRO RIILFLDOV VXSHUYLVRU\ DFDGHPLF RU UHVHDUFK RU VXSSRUW VWDII SRVLWLRQ LQFOXGLQJ FRPSDQ\ ZLWK ZKRP WKH 8QLYHUVLW\ KDV FRQWUDFWHG DV LWV DJHQW WR SURYL RIILFLDOV VXFK DV DQ DWWRUQH\ DXGLWRU RU FROOHFWLRQ DJHQW D SHUVRQ RIILFLDO FRPPLWWHH VXFK DV D GLVFLSOLQDU\ RU JULHYDQFH FRPPLWWHH RU D \$ VFKRRO RIILFLDO KDV D OHJLWLPDWH HGXFDWLRQDO LQWHUHVW LI WKH RIILFLDO SURIHVVLRQDO UHVSQRQVLELOLWLHV IRU WKH 8QLYHUVLW\

7KH ULJKW WR ILOH D FRPSODLQW ZLWK WKH 8 6 'HSDUWPHQW RI (GXFDWLRQ FRQZLWK WKH UHTXLUHPHQWV RI) (53\$

7KH QDPH DQG DGGUHVV RI WKH 2IILFH WKDW GPLQLVWHUV) (53\$ LV)DPL\ 3ROLF\ &RPSOLDQFH 2IILFH
8 6 'HSDUWPHQW RI (GXFDWLRQ
ODU\ODQG \$YHQXH 6:
:DVKLQJWRQ '&
3KRQH 86\$ /(\$51
IDPL\SROLF\ HG JRY

7KH 8QLYHUVLW\ LV DXWKRUL]HG XQGHU WKH DFW WR UHOHDVH ³GLUHFWRU\ LQIRU WKH VWXGHQW\ V QDPH DGGUHVV WHOHSKRQH DQG ID[QXPEHUV H PDLO DGGUHVV DQG SODFH RI ELUWK PDMRU ILHOG RI VWXG\ SDUWLFLSDWLRQ LQ RIILFLDOO\ UHFDWKOHWLF WHDPV GDWHV RI DWWHQGDQFH GHJUHHV DQG DZDUGV UHFHLYHG GDWWHQGHG E\ WKH VWXGHQW 7KH DERYH GHVLJQDWLG LQIRUPDWLRQ LV VXEMHFW KDV UHFHLYHG SULRU ZULWWHQ REMHFWRQ IURP WKH VWXGHQW VSHFLI\ LQIRU

'RPLQLFDQ 8QLYHUVLW\ RI &DOLIRUQLD *HQHUDO ,QIRUPDWLRQ \$FDGHPLF 3ROLFLHV DQG 3URFRGXUHV

)(53\$ UHTXHVW IRUP IRU 1RQ 'LVFORVXUH RI 'LUHFWRU\ ,QIRUPDWLRQ LV WKH EHVV
DYDLODEOH RQ WKH 5HJLWUDU V 2IILFH ZHESDJH DQG VKRXOG EH ILOHG DQQXDO
)DOO VHPHWKHU 0LG \HDU FKDQJHV RU PLG \HDU VXEPLVVLRQV DUH DOVR DFFHSW
WKH IRUP

\$V RI -DQXDU\ WKH 8 6 'HSDUWPHQW RI (GXFDWLRQ V)(53\$ UHJXODWLRQV H
HGXFDWLRQ UHFRUGV DQG SHUVRQDOO\ LGHQWLILDEOH LQIRUPDWLRQ 3,, FRQWDL
JUDGHV RU RWKHU SULYDWH LQIRUPDWLRQ²PD\ EH DFFHVVHG ZLWKRXW \RXU FRQV

)LUVW WKH 8 6 &RPSWUROOHU *HQHUDO WKH 8 6 \$WWRUQH\ *HQHUDO WKH 8 6
DXWKRULWLHV)HGHUDO DQG 6WDWH \$XWKRULWLHV PD\ DOORZ DFFHVV WR \RXU
GHVLJQDWHG E\ D)HGHUDO RU 6WDWH \$XWKRULW\ WR HYDOXDWH D IHGHUDO RU V
WR DQ\ SURJUDP WKDW LV SULQFLSDOO\ HQJDJHG LQ WKH SURYLVLRQ RI HGXFDW
DV DQ\ SURJUDP WKDW LV DGPLQLVWHUHG E\ DQ HGXFDWLRQ DJHQF\ RU LQVWLWXW

6HFRQG)HGHUDO DQG 6WDWH \$XWKRULWLHV PD\ DOORZ DFFHVV WR \RXU HGXFDW
SHUIRUPLOJ FHWDLQ W\SHV RI VWXGLHV LQ FHWDLQ FDVHV HYHQ ZKHQ ZH REMH
\$XWKRULWLHV PXVV REWDLQ FHWDLQ XVH UHVWULFWLRQ DQG GDWD VHFXULW\ SU
EXW WKH \$XWKRULWLHV QHHG QRW PDLQWDLQ GLUHFW FRQWURO RYHU VXFK HQWL
6\VWHPV 6WDWH \$XWKRULWLHV PD\ FROOHFW FRPSLOH SHUPDQHQWO\ UHWDLQ D
UHFRUGV DQG WKH\ PD\ WUDFN \RXU SDUWLFLSDWLRQ LQ HGXFDWLRQ DQG RWKHU
DERXW \RX WKDW WKH\ REWDLQ IURP RWKHU)HGHUDO RU 6WDWH GDWD VRXUFHV
FKLOG ZHOIDUH MXYHQLOH MXVWL FH PLOLWDU\ VHUYLFH DQG PLJUDQW VWXGHQW

)XUWKHU LQIRUPDWLRQ RQ)(53\$ LV DYDLODEOH DW WKH 8 6 'HSDUWPHQW RI (GXFD

\$ F D G H P L F + R Q H V W \

'RPLQLFDQ 8QLYHUVLW\ RI &DOLIRUQLD LV URRWHG LQ WKH 'RPLQLFDQ LGHDOV RI GHHS UHVSHFW IRU WKH GLJQLW\ DQG ZRUWK RI WKH LQGLYLGXDO ,Q WKH VSLULW KDYH WKH UHVSRQVLELOLW\ WR SURWHFW DQG PDLQWDLQ DQ DFDGHPLF FOLPDWH HQMR\HG E\ DOO LWV PHPEHUV

)DFXOW\ GPLQLVWUDWLRQ VWDII DQG VWXGHQWV DUH H[SHFWHG WR GHPRQVWU RI RWKHUV DQG UHVSHFW IRU RUGHU ZKLFK DUH HVVHQWLDO IRU JRRG FLWL]HQV EHFRPLQJ D PHPEHU RI WKLV DFDGHPLF FRPPXQLW\ RQH DFFHSWV WKH ULJKW DQG

ÀPEXIFWODPSA SPMSB HPV OIS JEJ. RÀPVÉÀFOE@ISPPASÀÙS R@ JEOLPÀ€ ÙHÀRÀP SEKE@QES V@PQÀÀK@D@. TSW@D@Q\ T@H@Q

& KHDWLQJ

:KLOH SODJLDULVP LV SHUKDSV WKH PRVW FRPPRQ IRUP RI DFDGHPLF FKHDWLQJ OHDUQLQJ SURFHVV DQG FRPSURPLVH SHUVRQDO KRQRU \$Q\ HIRUW WR IORXW WK WKH VWDWHG FRXUVH H[SHFWDWLRQV RI LQGLYLGXDO LQVWUXFWRUV FRQVWLWXWH GLVKRQRUDEOH EHKDYLRU LQFOXGHV
" 8VLQJ LQIRUPDWLRQ IURP DQRWKHU VWXGHQW\ V UHVHDUFK RU SDSHU
" &RS\LQJ LQIRUPDWLRQ IURP DQRWKHU VWXGHQW\ V WHVW RU XVLQJ XQDXWKR LQ FODVV RU WD@ORXUPHULODPp°€ FODV 0@8PZWHU ðPÀ0wXQoPSP RI 0 "D Ffffff @

\$FDGHPLF 6WDQGLQJ

8QGHUJUDGXDW^H 3URJUDPV

*RRG \$FDGHPLF 6WDQGLQJ

*RRG \$FDGHPLF 6WDQGLQJ LV GHILQHG DV WKH FRQGLWLRQ RI PDLQWDLQLQJ D PLG
DQG D PLQLPXP LQ WKH PDMRU 6WXGHQWV ZKR GR QRW PDLQWDLQ *RRG \$FDGH
EHORZ

6WXGHQWV PXVW EH LQ *RRG \$FDGHPLF 6WDQGLQJ WKH VPHVWHU LQ ZKLFK WKH\\
FXPXODWLYH *3\$ RU *3\$ LQ WKH PDMRU LV QRW PDLQWDLQHG LQ WKH VPHVW

6WXGHQWV ZLOO EH HYDOXDWHG IRU DFDGHPLF VWDQGLQJ DW WKH FRQFOXVLRQ R
HYDOXDWHG IRU DQG QRWLILHG RI DQ\ GHILFLHQF\ LQ WKHLU DFDGHPLF VWDQGL
ZDUQLQJ RU SUREDWLQRDU\ VWDWXV WR VWXGHQWV UHFRUGV ZLOO EH PDGH XQW
WKH\ KDYH DWWHPSWHG DW OHDVW FXPXODWLYH JUDGHG XQLWV 6WXGHQWV PDY
GLVFUHWLRQ RI WKH 5HJLVWUDU DQG WKH 'LUHFWRU RI WKH \$FDGHPLF \$GYLVLQJ D

\$FDGHPLF 'LVPLVVDO
6WXGHQWV DUH VXEMHFW WR \$FDGHPLF 'LVPLVVDO \$' XQGHU WKH IROORZLQJ WZF
" ,I DV VWDWHG DERYH WKH\ DUH RQ 35 DQG IDLO WR UDLVH WKHLU FXPXODWI
" ,I WKHLU FXPXODWLYH *3\$ IDOOV EHORZ ,Q WKLV FDVH 35 LV E\SDVVHG D

6XPPDU\ RI \$FDGHPLF 6WDQGLQJ

7KH WDEOH EHORZ LOOXVWUDWHV WKH YDULRXV OHYHOV RI DFDGHPLF GLIILFXOW\



5HLQVWDWPHHQW 8QGHUJUDGXDW H DQG *UDGXDW H 3URJ

)RUPHU VWXGHQW V ZKR ZHUH HQUROOHG LQ D GHJUHH SURJUDP DW 'RPLQLFDQ EX
JRRG DFDGHPLF VWDQGLQJ DQG QRZ VHNN WR FRPSOHWH D GHJUHH PD\ DSSO\ IR

6WXGHQW V ZKR ZHUH GLVPLVVHG IURP D VSHFLILF SURJUDP UDWKHU WKDQ IURP W
UHLQVWDWPHHQW DQG KDYH DSSURYDO RI WKH 3URJUDP 'LUHFWRU &KDLU 'LVPLVV
RU 1XUVLQJ RU +HDOWK 6FLHQFHV 3UH 2FFXSDWLRQDO 7KHUDS\ GRHV QRW QHFHV
\$ VWXGHQW ZKR LV RWKHUZLVH LQ FOH DU DFDGHPLF VWDQGLQJ PD\ EH HOLJLEOH
'LVPLVVDO IURP DQ DFDGHPLF SURJUDP LV QRW VXEMHFW WR WKH VDPH DSSHDO S
PXVW EH DGGUHVVG ZLWK WKH 3URJUDP &KDLU DQG WKH 'HDQ RI WKH 6FKRRO UD
&RPPLWWHH RU WKH \$SSHDOV &RPPLWWHH

5HLQVWDWPHHQW LQ WKH XQLYHUVLW\ LV JHQHUDOO\ JUDQWHG LI WKH IRUPHU VW
WKURXJK VXEVTXHQW WUDQVIHUEOH ZRUN DW D UHJLRQDOO\ DFFUHGLWHG FROO
FRQGLWLRQV WKDW OHG WR WKHLU HDUOLHU XQVDWLVIDFWRU\ SHUIRUPDQFH ZLOO
DFDGHPLF DGYLVRU DQG WKH SURJUDP GLUHFWRU 6WXGHQW UHLQVWDW H XQGH
DQG PXVW PDLQWDLQ JRRG DFDGHPLF VWDQGLQJ LQ WKH ILUVW VHPHVWHU

\$SSOLF DQWV IRU UHLQVWDWPHHQW PXVW

" +DYH WKH DSSURYDO RI WKHLU DFDGHPLF DGYLVRU DQG 3URJUDP 'LUHFWRU V

3@ P3UR W€` 00

"

\$FDGHPLF 5HQHZDO 3ROLF\

7KH \$FDGHPLF 5HQHZDO 3ROLF\ DW 'RPLQLFDQ DOORZV D RQH WLPH RSWLRQ IRU FX
HLWKHU DW 'RPLQLFDQ RU DW RWKHU SRVW VHFRQGDU\ LQVWLWXWLRQV KDV EHHQ
IRU YDULRXV UHDVRQV VRPH VVXGHQWV KDYH QRW PHW WKH VFKRODVWLF UHTXL
DSSUHFLDWHV WKDW VVXGHQWV PDWXUH RIWHQ UHGLVFRYHULQJ WKHLU SRWHQWI

\$FDGHPLF 5HQHZDO RI FRXUVHZRUN PHDQV WKDW DOO FRXUVHV LQ WKH VHOHFWHG
'RPLQLFDQ 8QLYHUVLW\ RI &DOLIRUQLD GHJUHH UHTXLUHPHQWV XQLWV ZLOO QRW
QRW EH FRXQWHG LQ WKH VVXGHQW V FXPXODWLYH JUDGH SRLQW DYHUDJH DQG
'RPLQLFDQ GHJUHH UHTXLUHPHQWV \$FDGHPLF UHQHZDO DIIHFVV WKH 'RPLQLFDQ 8
UHIOHFWHG RQ DQ\ UHFRUGV IURP SUHYLRXVO\ DWWHQGHG VFKRROV

(YHQ WKRXJK DFDGHPLF UHQHZDO H[FOXGHV XQLWV DQG FRUUHVS RQGLQJ JUDGHV
SXUSRVH RI JUDGH SRLQW FDOFXODWLRQV WKHVH FRXUVHV DUH QRW UHPRYHG IU
VXEMHFW WR WKH IROORZLQJ FRQGLWLRQV

" \$IWHU FRPSOHWLRQ RI XQLWV RI FRXUVHZRUN DW 'RPLQLFDQ ZLWK D PLQLP
FXUUHQWO\ HQUROOHG VVXGHQW PD\ DSSO\ WR WKH 3H WLWLRQ &RPPLWWHH
FRXUVHZRUN LQ LWV HQWLUHW\ E\ VPHPVWHU RU TXDUWHU
" 7KH VPHPVWHU RU TXDUWHU PXVW KDYH EHHQ FRPSOHW HG DW OHDVW ILYH \H
DFDGHPLF UHQHZDO
" \$ 3H WLWLRQ IRU \$FDGHPLF 5HQHZDO IRUP PXVW EH ILOHG ZLWK WKH 5HJLVWU
JUDGXDWLRQ WR DOORZ WLPH IRU PDNLQJ XS FUHGLW IRU FRPSOHWLRQ RI GH
SHWLWLRQ
" \$FDGHPLF 5HQHZDO ZLOO EH DSSOLHG WR DOO FRXUVHV LQ WKH FKRVHQ VHP
" 7KH GHFLVLRQ RI WKH \$FDGHPLF 3H WLWLRQ &RPPLWWHH ZLOO EH EDVHG RQ V
WKH VVXGHQW\ VVXGHQW\ VVXGHQW\ VVXGHQW\ VVXGHQW\ VVXGHQW\ VVXGHQW\ VVXGHQW
DSSOLF DQWV VKRXOG LQFOXGH D SHUVRQDO VWDWPHHQW HVVD\ GHVFULELQJ
WKH FKRVHQ VPHPVWHU V RU TXDUWHU V

,PSRUWDQW 1RWH 6WXGHQWV VKRXOG EH DZDUH WKDW WKH \$FDGHPLF 5HQHZDO 3
3ROLF\ 7KH)RUJLYHQHV 3ROLF\ LV DSSOLHG IRU DGPLVVL RQ SXUSRVHV RQO\ *U
WKH WLPH RI DGPLVVL RQ DUH QRW LQFOXGHG LQ GHWHUPLQLQJ ZKHVKHU DQ DSSO
+RZH YHU WKHVH FRXUVHV DQG WKHLU JUDGHV UHPDLQ RQ WKH VVXGHQW V UHFRU
VWDQGLQJ DQG IRU JUDGXDWLRQ XQOHVV WKH VVXGHQW DSSOL\$QPDQBLRQFHLYHV
)RUJLYHQHV 3ROLF\ VHF WLRQ RI WKLV FDWDORJ IRU PRUH GHWDLOV

,PSOLF DWLRQV RI \$FDGHPLF 5HQHZDO 3ROLF\

\$FDGHPLF UHQHZDO FDQGLGDWHV ZKR JDLQ DSSURYDO IRU UHQHZDO DUH DG YLVHG
" 'HDQ V /LVW 4XDOL\ LQJ VVXGHQWV ZLOO EH FRQVLGHUHG HOLJLEOH IRU LQF
" *UDGXDWLRQ +RQRUV 6WXGHQWV DUH HOLJLEOH EDVHG XSRQ DOO FRXUVHZR
" 2IILFLDO 'RPLQLFDQ 8QLYHUVLW\ RI &DOLIRUQLD 7UDQVFULSW \$Q\ VVXGHQW
FRPSOHWH DFDGHPLF KLVWRU\ DW 'RPLQLFDQ

7KH \$FDGHPLF 5HQHZDO 3ROLF\ LV UH YLHZHG SHULRGLFOO\ DQG LV VXEMHFW WR

\$FDGHPLF 6XSSRUW 7XWRULQJ 3ROLF

7KH \$FDGHPLF \$GYLVLQJ DQG \$FKLHYHPHQW &HQWHU RIIHUV RQH RQ RQH RU VPDO
VWXGHQWV UHJDUGOHVV RI SURJUDP ,Q JHQHUDO VWXGHQWV DUH DOORWWHG RC
WKH\ DUH FXUUHQWO\ UHJLVWHUHG \$GGLWLRQDO KRXUV PD\ EH DYDLODEOH WR PH

3ROLFLHV DQG 3URFHGXUHV IRU 6WXO
'LVDELOLWLHV

8QLYHUVLW\ 3ROLF\

'RPLQLFDQ 8QLYHUVLW\ RI &DOLIRUQLD LV FRPPLWWHG WR SURYLGLQJ HIIHFWLHY
GLVDELOLWLHV LQ DFFRUGDQFH ZLWK WKH IHGHUDO \$PHULFDQV ZLWK 'LVDELOLWLH
ODZV 5HDVRQDEOH DFFRPPRGDWLRQV DUH SURYLGHG WR UHPRYH EDUULHUV WKDW
DFFHVV WKH 8QLYHUVLW\ V SURJUDPV DQG VHUYLFHV

,W LV WKH 8QLYHUVLW\ ¶V SROLF\ WKDW QR VWXGHQW EH H[FOXGHG IURP SDUWLFLS
ZLWK UHJDUG WR DQ\ 8QLYHUVLW\ SURJUDP 2QFH D VWXGHQW ZLWK D GLVDELOLW
QRWLI\LQJ WKH \$FFHVVL ELOLW\ DQG 'LVDELOLW\ 6HUYL FH 2IILFH '\$62 RI KLV KHU
SURYLG LQJ DQ\ QHFHVVDU\ GRFXPHQWDWLRQ RI D GLVDELOLW\ UHODWHG QHHG IURP

6RPH VWXGHQWV ZLWK GLVDELOLWLHV GHVSLWH WKH SURYLVLRQ RI UHDVRQDEOH
FHUWDLQ FRXUVHV RI VWXG\ 2Q D FDVH E\ FDVH EDVLV WKH 8QLYHUVLW\ ZLOO UH
FRXUVH RI VWXG\ ,Q OLPLWHG LQVWDQFH WKH 8QLYHUVLW\ PD\ SHUPLW D FRXUV
UHTXLUHG FRXUVHV DWWHQGDQFH SROLFLHV RU WHVW IRUPDWV WKDW DUH HVVH

'HILQLWLRQ

7KH \$PHULFDQV ZLWK 'LVDELOLWLHV \$FW RI DQG WKH 5HKDELOLWDWL RQ \$FW R
" +DV D SK\VL FDO RU PHQWDO LPSDLU PHQW WKDW VXE VWDQWLDOO\ OLPLWV RQ
SHUIRUP LQJ PDQXDO WDVNV ZDONLQJ VHHLQJ KHDULQJ VSHDNLQJ EUHDWK
" KDV D UHFRUG RI VXFK D GLVDELOLW\ RU
" LV UHJDUGHG DV KDYLQJ VXFK D GLVDELOLW\

6WXGHQWV ZLWK WHPSRUDU\ LOOQHVV LQMXULHV RU FRQGLWLRQV DUH QRW FRQVZLWK VXFK VWXGHQWV RQ D FDVH E\ FDVH EDVLV WR PDNH DSSURSULDWH DGMXVW\$FDGHPLF \$GYLVLQJ DQG \$FKLHYHPHQW &HQWHU

,Q RUGHU WR HVWDEOLVK ZKHWKHU RU QRW D VWXGHQW PHHWV WKH GHILQLWLRQ SURFHGXUHV 6WXGHQWV UHTXHVWLQJ DFFRPPRGDWLRQV RQ WKH EDVLV RI GLVDEI GHWHUPLQLQJ WKHLU HOLJLELOLW\ IRU \$FFHVVLELOLW\ DQG 'LVDELOLW\ 6HUYLHFV

8QLYHUVLW\ 3URFHGXUHV

7R EH HOLJLEOH IRU DFFRPPRGDWLRQ VWXGHQWV PXWW SURYLGH WKH \$'62 Z
SURIHVVLVRQDO H J SK\VLFLDQ SV\FKLDWULVW SV\FKRORJLVW RU OHDUQLC
ZKHWKHU WKH VWXGHQW KDV D GLVDELOLW\ ZKLFK VXEVDQWLDOO\ OLPLWV
WKH SURIHVVLVRQDO OHWWHUKHDG RI WKH OLFHQVHG HYDOXDWRU RU RQ WKH
HYDOXDWLQJ SURIHVVLVRQDO EH UHODWHG WR WKH VWXGHQW VHHNLQJ DFDGH
, I D VWXGHQW GRHV QRW QRWLI\ WKH \$'62 RI KLV KHU GLVDELOLW\ RU IDLOV
WLPHO\ IDVKLRQ WKH 8QLYHUVLW\ FDQQRW EH UHVSQRQVLEOH IRU SURYGLGLQJ
JUDQW DFFRPPRGDWLRQV UHWURDFWLYHO\ 7KHUHIRUH LI D VWXGHQW LV XQ
LQ D WLPHO\ PDQQHU LW LV XQOLNHO\ WKDW WKH VWXGHQW\ UHFRUG ZLOO
6WXGHQWV FDQQRW UHO\ RQ WKH 8QLYHUVLW\ WR LGHQWLII\ WKHLU GLVDELO
WLp @FHV VKRXOGLRQ LQ ° OHQHFDXL 0 0 LV À L6 €` p À•€W° E ° LUqpu SURYDpA

,Q JHQHUDO GRFXPHQWDWLRQ RI D VVXGHQW\ GLVDELOLW\ PXVW EH FXUUH
HYHU\ IRXU \HDUV DQG D QHZ DVVHVVPHQW LV UHFRPPHQGHG EHIRUH VWDUWI
VWDQGDUGL]HG EDWWHU\ RI DSSURSULDWH WHVWV ,Q RWKHU LQVWDQFH V
DQG RU UHFXUULQJ HYDOXDWLHYH LQIRUPDWLRQ PD\ EH QHFHVVDU\)RU FRQG
PD\ EH DFFHSWDEOH 7KH GRFXPHQWDWLRQ PXVW LQFOXGH WKH WHVWLQJ SU
GLVDELOLW\ WKH WHVW UHVXOWV DQG LQWHUSUHWDLRQ RI WKH UHVXOWV
DGGWLRLQDO WHVWLQJ RU DSSURSULDWH GRFXPHQWDWLRQ ,Q DOO TXHVWLRL
\$'62 7KH \$'62 ZLOO PDNH ILQDO GHWHUPLQDWLRQ RI WKH DSSURSULDWHQHV
'VTÀÐLQFbDUp€Pp`L]ÀÀL]00`0pQW@°@p`Pp)pE b%op p)pÐ0

7UDQVIHU &UHGLW 3ROLFLHV

7KH FRPSUHKHQVLYH WUDQVIHU SROLF\ LV DGPLQLVWHUHG DQG XSGDWHG E\ WKH 5
\$IIDLUV DQG WKH 'RPLQLFDQ 8QLYHUVLW\ RI &DOLIRUQLD IDFOW\

'RPLQLFDQ ZLOO JUDQW WUDQVIHU FUHGLW IRU FROOHJH OHYHO FRXUVHV DQG SUL
DFFUHGLWHG SRVW VHFRQGDU\ WZR \HDU RU IRXU \HDU FROOHJHV DQG XQLYHUVL
IRU DFFUHGLWDWLRQ DV GHILQHG LQ WKH +DQGERRN RI \$FFUHGLWDWLRQ \$FFUH
:HVWHUQ \$VVRFLDWLRQ RI 6FKRROV DQG &ROOHJHV DQG IRU DFDGHPLF FUHGLW H
VWDQGDUGL]HG H[DPLQDWLRQV &/(3 ([FHOVLRU DQG '667 &UHGLW ZLOO DOVR E
RXWVLGH WKH 8QLWHG 6WDWHV &RXUVHV IURP QRQ DFFUHGLWHG LQVWLWXWLRQV
:HVWHUQ \$VVRFLDWLRQ RI 6FKRROV DQG &ROOLRV 60@p`` ð @ `p 0T€ € 0pVeg!} \$I DDð Q @

/DQJXDJH \$ /LWHU ODQJXDJH			1RQH	1RQH
/DQJXDJH \$ /DQJX /,WHUDWXUH DQ\ C			1RQH	1RQH
0DWKHPDWLFV +/			07	07
3K\VLFV +/			163	16
3V\FKRORJ\ +/			66	66
7KHDWUH +/			&\$	&\$

,% H[DP FUHGLW DZDUGHG IRU 'RPLQLFDQ *(VFLHQFH OHFWXUH FRXUVHV DOVR IX



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([FHOVLRU &ROOHJH ([DPLQDWLRQ 3URJUDP IRUPHUO\ \$&
7KH 8QLYHUVLW\ DFFHSWV VHOHFHWG H[DPLQDWLRQV LQ DUWV DQG VFLHQFH EXVL
DERYH \\$ VWXGHQW VKRXOG FRQWDFW D 'RPLQLFDQ DGYLVRU SULRU WR WDNLQJ D
H[DPLQDWLRQ ZLWKLQ WKH VWXGHQW V GHJUHH SODQ \\$ VWXGHQW PXVW SURYLGH
GHJUHH 6WXGHQWV PXVW HDUQ WKH PLQLPXP \$PHULFDQ &RXQFLO RQ (GXFDWLRQ
FUHGLW ([DPLQDWLRQ UHSRUWV LQFOXGH WKH \\$& (VFRUH 1R PRUH WKDQ D WRWD
WKH EDFKHORU\ V GHJUHH DW 'RPLQLFDQ &UHGLW LV ORZHU RU XSSHU GLYLVLRQ D
HGXFDWLRQ DUHDV DQG VRPH PDMRUV DV QRWHG EHORZ

([FHOVLRU &ROOHJH ([DPLQDWLRQV

([FHOVLRU &ROOHJH ([DPLQDWLRQV FRQW



((FHOVLRU &ROOHJH ((DPLQDWLRQV FRQW

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UHTXLUHPHQWV WR ZKLFK SURILFLHQF\ XQLWV PD\ EH DSSOLHG DQG XQLW OLPLWV
IRU GHWDLOV UHJDUGLQJ WKHVH XQLW OLPLWV
" ([SHULHQWLDO /HDUQLQJ 3RUWIROLR
" &ROOHJH /HYHO ([DPLQDWLRQ 3URJUDP &/(3
" ([FHOVLRU &ROOHJH ([DPLQDWLRQ 3URJUDP ([FHOVLRU &ROOHJH IRUPHUO\ \$
" '\$17(6 6XEMHFW 6WDQGDUGL]HG 7HVWV '667
" %DVLF PLOLWDU\ VHUYL FH PRUH WKDQ RQH \HDU
" 8QLWV UHFRPPHQGHG E\ \$&(3216,
" \$GYDQFHG 3ODFHPHQW FUHGLW \$3
" ,QWHUQDWLRQDO %DFFDODXUHDWH ([DPLQDWLRQV ,%
" 'RPLQLFDQ 8QLYHUVLW\ RI &DOLIRUQLD FRXUVH FKDOOHQJH

6WXGHQWV PXVW VXEPLW RIILFLDO UHTXLUHG GRFXPHQWDWLRQ WR WKH 5HJLVWUD

&UHGLW IRU 3ULRU /HDUQLQJ

'RPLQLFDQ 8QLYHUVLW\ RI &DOLIRUQLD DFNQRZOHGJHV WKDW FROOHJH OHYHO OH
FROOHJH FODVVURRP L H SULRU OHDUQLQJ 6WXGHQWV PD\ DSSO\ D PD[LXPX RI
WKURXJK WKH RSWLRQV IURP SULRU FROOHJH OHYHO OHDUQLQJ 8QLWV DUH DSSO
RI WKH FROOHJH OHYHO OHDUQLQJ 8QLWV DZDUGHG WKURXJK 3ULRU /HDUQLQJ \$V
WUDQVIHU FRXUVHV RU WKURXJK FRXUVHV FRPSOHWHG DW 'RPLQLFDQ

6XFK OHDUQLQJ PXVW

%H UHODWHG WR WKH HGXFDWLRQDO JRDOV RI WKH VWXGHQW
%H FRQVLVWHQW ZLWK WKH HGXFDWLRQDO JRDOV DQG SURJUDPV RI WKH 8QL
DQG PDWULFXODWHG DW WKH 8QLYHUVLW\)RU PRVW 3/\$ WKH SURFHVV IRU F
DV WKH VWXGHQW KDV UHJLVWUHG IRU KLV KHU ILUVW VPHVWHU RI FRXUVH
%H GRFXPHQWHG E\ VXEPLWWLQJ RIILFLDO UHVXOWV RI 3/\$ ([SHULHQWLDO /H
FRPPHQFPHQW
%H GRFXPHQWHG E\ VXEPLWWLQJ SURRI RI UHJLVWUDWLRQ IRU ([FHOVLRU &
EHIRUH WKH IROORZLQJ 'HFHPEHU LQ RUGHU WR SDUWLFLSDWH LQ FRPPHQFH
RXWVWDQGLQJ XQLWV LQFOXGHV 3/\$ XQLWV

8QLWV HDUQHG WKURXJK SULRU OHDUQLQJ DVVHVVPHQW DUH UHFRUGHG DV SURILF
ORZHU RU XSSHU GLYLVLRQ XQLWV 7KHVH XQLWV DUH QRW XQLWV IRU ZKLFK D VV
QRW FRXQWHG WRZDUG ILQDQFLDO DLG HOLJLELOLW\ UHTXLUHPHQWV 3DVV)DLO D
IRU JUDGXDWLRQ KRQRUV /RZHU GLYLVLRQ XQLWV HDUQHG WKURXJK SULRU OHDU
WUDQVIHUHG IURP D UHJLRQDOO\ DFFUHGLWHG FROOHJH RU XQLYHUVLW\ DQG DU
WUDQVIHU XQLWV 8QLWV HDUQHG WKURXJK SULRU OHDUQLQJ RSWLRQV DUH QRW V
DW WKH 8QLYHUVLW\

&ROOHJH /HYHO ([DPLQDWLRQ 3URJUDP &/(3 ([FHOVLRU
DQG '\$17(6 6XEMHFW 6WDQGDUG 7HVW '667

\$ VWXGHQW PD\ HDUQ FROOHJH FUHGLW E\ HDUQLQJ WKH \$&(UHFRPPHQGHG VFRU
H[DPLQDWLRQ RIIHUHG WKURXJK WKH &ROOHJH /HYHO ([DPLQDWLRQ 3URJUDP &/(3
&ROOHJH ([DPLQDWLRQ 3URJUDP IRUPHUO\ 5HJHQWV 3(3 RU WKH '\$17(6 6XEMHFW
XQLWV GHSHQGLQJ XSRQ WKH VSHFLILF WHVW IRU HDFK H[DPLQDWLRQ SDVVHG ZL
HDUQHG IURP D FRPELQDWLRQ RI &/(3 ORZHU GLYLVLRQ XQLWV ([FHOVLRU &ROOHJ
GLYLVLRQ XQLWV 6WXGHQWV PXVW VXEPLW DQ RIILFLDO &/(3 ([FHOVLRU &ROOHJ
FUHGLW 3ULRU /HDUQLQJ \$VVHVVPHQW H[DPPV GR QRW DSSO\ WR WKH XQLW UHV
WKH ILUVW XQLWV RI UHVLGHQFD QD\HUUHOO IPW V\SR QHFLWKH/HFWLRQ RI WKLV FDV

'RPLQLFDQ 8QLYHUVLW\ RI &DOLIRUQLD *HQHUDO ,QIRUPDWLRQ \$FDGHPLF 3ROLFLHV DQG 3URFRGXUHV

& K D O O H Q J L Q J & R X U V H V

([SHULHQWLDO /HDUQLQJ &UHGLW LV SRVWHG WR WKH VWXGHQW\ V WUDQVFULSW D
UHVVLGHQF\ UHTXLUHPHQW

(OLJLELOLW\ IRU WKH FRXUVHV (1*/ DQG IRU WKH ([SHULHQWLDO /HDUQLQJ
PLQLPXP RI VL[\HDUV RI OLIH H[SHULHQFH VLQFH FRPSOHWLQJ KLJK VFKRRQ DQG
HTXLYDOHQW

\$SSURYHG \$UHDV IRU ([SHULHQWLDO /HDUQLQJ (VVD\ V
)RU GLVFXVVLRQ RI KRZ OLIH H[SHULHQFH FDQ EH LQFRUSRUDWHG LQWR D VSHFLIL
\$VVHVVPHQW

\$QWKURSRORJ\	/DQJXDJHV
\$QWKURSRORJ\	/DQJXDJH 7UDQVODWLRQV

\$UW \$UW +LVWRU\	0XVLF DQG 3HUIRUPPLQJ \$UW
\$UW 3RUWIROLRV	&RPSRVLWLRQ
\$UW +LVWRU\	'DQFH 3HUIRUPDQFH 3DVW RU 3UHVHC
\$UW +LVWRULFDO 6XEMHFVW	'UDPD 3HUIRUPDQFH 3DVW RU 3UHVHC
(PSOR\PHQW ([SHULHQFH DW \$UW +LV	0XVLF 3HUIRUPDQFH
	(QVHPEOH :RUN
%LRORJ\	3KLORVRSK\
%LRORJ\	(WKLFV
&DQFHU	/RJLFDO &ULWLFDO 7KLQNLQJ
)HPDOH 0DOH 3K\VLRORJ\ 6H[XDOLW\	3KLORVRSK\
,QIHFWRXV DQG 1RQ ,QIHFWRXV 'LV	
%XVLQHV	3V\FKRORJ\
%XVLQHV	&RXQVHOLQJ DQG &RPPXQLFDWLRQ
(PSOR\HH DQG /DERU 5HODWLRQV	'HDWK DQG '\LQJ
(PSOR\HH 'HYHORSPHQW DQG 7UDLQL	3DUHQWKRRG
,QYHQWRU\ &RQWURO	3V\FKRDWFVLYH 'UXJV 8VH 0LVXVH D
0HUFKDQGLVLQJ	3V\FKRORJ\ RI 3HUVRQDO 7UDXPD DQ
1HJRWLDWLRQ DQG 0HGLDWLRQ	3V\FKR 6RFLDO \$VSHFWV RI \$EXVH
2IILFH 0DQDJPHQW	3V\FKR 6RFLDO 'LPHQVLRQV RI \$JLQJ
2UJDQL]DWLRQ 'HYHORSPHQW DQG &K	

3HUVRQQHO \$GPLQLVWUDWLRQ	5HOLJLRQ
3URGXFWLRQ 2SHUDWLRQV 0DQDJHPH	&KULVWLDQ RU &DWKROLF 7KHRORJ\
3URSHUW\ 0DQDJHPHQW	&URVV &XOWXUDO 6WXG\ RI 5HOLJLRQ
6PDOO %XVLQHVV 0DQDJHPHQW	5HOLJLRQ
6XSHUYLVLRQ	6HOHFWHG 7RSLFV LQ %LEOLFDO 6WX
7KHRU\ DQG 3UDFWLFH RI 6DOHV	
&RPPXQLFDWLRQV	6RFLDO DQG &XOWXUDO 6W
&RPPXQLFDWLRQV -RXUQDOLVP	&XOWXUDO \$QWKURSRORJ\
	, QWHUSHUVRQDO DQG 6RFLRORJLFDO
(GXFDWLRQ DQG 7HDFKLQJ	6RFLDO DQG &XOWXUDO 6WXGLHV
(GXFDWLRQ DQG 7HDFKLQJ	6RFLRORJ\ RI \$PHULFDQ 0XOWLFXOWX
	6RFLRORJ\ RI \$PHULFDQ 6XEFXOWXUH
+HDOWK 6FLHQFHV	6RFLRORJ\ RI 6SRUW
+HDOWK DQG :HOOQHV	6RFLRORJ\ RI 9ROXQWHHU 2UJDQL]DW
6WUHVV 0DQDJHPHQW DQG (IIHFVLYH	:RPHQ DQG :RUN
+LVWRU\	
-RE 5HODWHG 3URMHFW LQ +LVWRU\	
3DUWLFLSDWLRQ LQ DQ +LVWRULFDO	

&URVV 5HJLVWUDWLRQ 2SSRUWXQLWL

,Q DGGLWLRQ WR RII FDPSXV WUDQVIHU RSSRUWXQLWLHV 'RPLQLFDQ RIIHUV WZR
*UDGXDWH 7KHRORJLFDO 8QLRQ *78 DV ZHOO DV DQ RSSRUWXQLW\ WR SDUWLFLS

&URVV 5HJLVWUDWLRQ 8&%HUNHOH\

7KH 8QLYHUVLW\ RI &DOLIRUQLD %HUNHOH\ KDV DJUHHG WR SHUPLW 'RPLQLFDQ V
%HUNHOH\ VXEMHFW WR VSDFH DYDLODELOLW\ DQG WKH FRQVHQW RI WKH LQVWUX
LV OLPLWHG WR RQH FRXUVH SHU VPHVWHU DW 8&%HUNHOH\ 6WXGHQWV FURVV
GHDGOLQHV DQG UHJXODWLRQV RI 8&%HUNHOH\ IRU WKH FRXUVH LQ ZKLFK WKH\

,Q RUGHU WR EH HOLJLEOH IRU FURVV UHJLVWUDWLRQ VWXGHQWV PXVW
" %H FXUUHQWO\ UHJLVWHUHG DW 'RPLQLFDQ
" %H LQ JRRG DFDGHPLF VWDQGLQJ
" +DYH DOUHDG\ FRPSOHWHG WKH ([SRVLWRU\ :ULWLQJ \$GYDQFHG :ULWLQJ DQ
4XDQWLWDWLYH \$QDO\VLV *HQHUDO (GXFDWLRQ UHTXLUHPHQWV
" +DYH D FXPXODWLYH *3\$ RI RU KLJKHU
" 1RW EH LQ WKHLU ILQDO VPHVWHU DW 'RPLQLFDQ

&RPSOHWH GHWDLOV UHJDUGLQJ WKH SURFHVV IRU FURVV UHJLVWUDWLRQ DQG WK
\$SSOLFDWLRQ ZKLFK LV DYDLODEOH LQ WKH 'RPLQLFDQ 5HJLVWUDU\ 2IILFH

3OHDVH QRWH WKDW HQROOOG XQLWV DW 8&%HUNHOH\ DUH WUDQVIHU XQLWV D
'RPLQLFDQ

&URVV 5HJLVWUDWLRQ WKH *UDGXDWH 7KHRORJLFDO 8QLRQ
7KH *UDGXDWH 7KHRORJLFDO 8QLRQ *78 LQ %HUNHOH\ KDV DJUHHG WR SHUPLW V
DYDLODELOLW\ DQG WKH FRQVHQW RI WKH LQVWUXFWRU 7KH SURJUDP LV RSHQ W
LV OLPLWHG WR RQH FRXUVH XQLWV SHU VPHVWHU 'RPLQLFDQ VWXGHQWV PD
RIIHUHG IRU FUHGLW DW 'RPLQLFDQ 6WXGHQWV FURVV UHJLVWHULQJ DW WKH *78
*78 IRU WKH FRXUVH LQ ZKLFK WKH\ DUH FURVV UHJLVWHULQJ

&RPSOHWH GHWDLOV UHJDUGLQJ WKH SURFHVV IRU FURVV UHJLVWUDWLRQ DQG WK
\$SSOLFDWLRQ ZKLFK LV DYDLODEOH LQ WKH 'RPLQLFDQ 5HJLVWUDU\ 2IILFH

3OHDVH QRWH WKDW HQROOOG XQLWV DW WKH *78 FRXQW WRZDUG D VWXGHQW\

\$)527&&RXUVHV DW 8&%HUNHOH\

'RPLQLFDQ VWXGHQWV DUH HOLJLEOH WR SDUWLFLSDWH LQ WKH \$LU)RUFH 527& \$
WKURXJK WKH \$HURVSDFH 6WXGLHV GHSDUWPHQW)RU IXUWKHU LQIRUPDWLRQ UH

p ` P @ @ 0` p € p € 5p ° € @ ` 0 `` p € p ` p ` P ` p @ p0

\$ Z D U G V D Q G + R Q R U V

' HDQ¶V / LVW

7KH 'HDQ V / LVW KRQRUV VWXGHQWV ZKR KDYH D PLQLPXP VPHVWHU JUDGH SRLQW
FRPSXWDEOH JUDGHG XQLWV WDNHQ DW 'RPLQLFDQ 6WXGHQWV ZLWK JUDGHV
IRU WKH 'HDQ V / LVW 3DVV)DLO XQLWV DUH QRW FRPSXWDEOH DQG DUH QRW LQF
/ LVW DV WKH UHVXOW RI D JUDGH FKDQJH

7KH 'HDQ V / LVW LV SRVWHG RQOLQH)HEUXDU\ IRU WKH)DOO VPHVWHU DQG -X
QRWLILHG GLUHFWO\ E\ WKH 'HDQ RI WKHLU VFKRRQ DIWHU WKH 'HDQ¶V / LVW KDV E

' HDQ¶V + R Q R U V

7KH 'HDQ¶V + R Q R U V UHFRJQL]HV SDUW WLPH VWXGHQWV ZKR KDYH D PLQLPXP VPH
FRPSXWDEOH JUDGHG XQLWV WDNHQ DW 'RPLQLFDQ LQ D JLYHQ VPHVWHU (OLJL
D *3\$ RI RU DERYH EDVHG RQ D PLQLPXP RI WRWDO FRPSXWDEOH JUDGHG XQ

1DWLRQDO + R Q R U 6RFLHWLHV DW 'RPLQLFDQ 8QLYHUVLW\

\$OSKD &KL

\$OSKD &KL LV D FRHGXFDWLRQDO QDWLRQDO KRQRU VRFLHW\ ZKRVH SXUSRVH LV W
DPRQJ FROOHJH DQG XQLYHUVLW\ VWXGHQWV DQG WR KRQRU WKRVH ZKR DFKLHYH
IURP DOO DFDGHPLF GLVFLSOLQHV ZKR DUH -XQLRUV 6HQLRUV DQG JUDGXDWH VW
SHUFHQW RI WKHLU FODVV \$V D SKUDVH IURP LWV FRQVWLWXWLQ VXJJHVWV \$OS
VFKRODUVKLS HIIHFHFWLYH IRU JRRG '

\$OSKD 6LJPD /DPP€ N@HJH D]@@@06LJPD /DPP€ N@HJH FRHGXFDQ KRQRU VRFLHW\ Z

& D Q G L G D F \ ' H J U H H & R Q I H U U D O & R P

'H J U H H & D Q G L G D F \

'XULQJ WKH 6SULQJ VPHVWHU RI HDFK DFDGHPLF \HDU WKH 5HJLVWUDU HYDOXDWF
FRPSOHWH WKH ILQDO FRXUVHZRUN UHTXLUGH IRU WKH GHJUHH DGYDQFH WR FDQ
EDVHG RQ WKH VWXGHQW\ V GHJUHH SURJUHVV WR GDWH DV UHIOHFHWG LQ WKH \$

,Q RUGHU WR DGYDQFH WR FDQGLGDF\ XQGHUJUDGXDWV VWXGHQWV PXVW KDYH V
DQG PXVW KDYH FRPSOHWHG WKH ([SRVLWRU\ :ULWLQJ (1*/ DQG (1*/ DQG 0

7R GHFODUH WKHLU FDQGLGDF\ DQG WKXV EH HOLJLEOH IRU GHJUHH FRQIHUUDO D
\$SSOLFDWLRQ IRU 'HJUHH IRUP LQ WKH 5HJLVWUDU\ V 2IILFH ZLWK WKH DSSURYDO
JUDGXDWLRQ DSSOLFDWLRQ QRUPDOO\ IDOOV DW WKH HQG RI 3ULRULW\ 5HJLVWUD
1RYHPEHU IRU 0D\ DQG \$XJXVW FRQFHQW\ & DORHUQWHUH\ HDWWHGHDGOLQHV)DLOXU
GHJUHH SULRU WR WKH GHGDGOLQH PD\ UHVXOW LQ GHOD\HG JUDGXDWLRQ DQG ILQ

'HJUHH DSSOLFDWLRQV UHPDLQ YDOLG IRU RQH FDOHQGDU \HDU DIWHU ILOLQJ \$Q
\HDU DIWHU WKH LQLWLDO LQWHQGHG WHUP RI JUDGXDWLRQ PD\ UHTXLUGH D QHZ D

'H J U H H & R Q I H U U D O

'HJUHHV DUH FRQIHUUHG RQO\ DIWHU WKH 5HJLVWUDU\ V 2IILFH KDV YHULILHG VXF
GD\ V DIWHU WKH HQG RI WKH VWXGHQW\ V ILQDO VPHVWHU

'HJUHH FRQIHUUDOV DUH DFWLRQV RI 'RPLQLFDQ 8QLYHUVLW\ RI & DOLIRUQLD DQG
VFKRROV DFDGHPLF GLYLVLRQV GHSDUWPHQWV RU SURJUDPV

& R P P H Q F H P H Q W

& RPPHQFHPHQW LV KHOG LQ PLG 0D\ HDFK \HDU IROORZLQJ 6SULQJ VPHVWHU ILQ
\$FDGHPLF & DOHQGDU

\$OO VWXGHQWV ZKR DUH LQ JRRG VWDQGLQJ KDYH DSSOLHG IRU JUDGXDWLRQ DQ
WR SDUWLFLSDWH LQ WKH FRPPHQFHPHQW FUHUPRQ\ 7KLV LQFOXGHV VWXGHQWV
RI WKH SUHYLRXV FDOHQGDU \HDU DQG ZKR GLG QRW SDUWLFLSDWH SUHYLRXVO\

6WXGHQWV ZKR KDYH DSSOLHG WR JUDGXDWL EXW KDYH QRW FRPSOHWHG WKH UH
FRPPHQFHPHQW RQ D FDVH E\ FDVH EDVLV LI WKH\ DUH ZLWKLQ VPHVWHU XQLW
UHTXLUHPHQWV *HQHUDO\ DSSURYDO IRU SDUWLFLSDWLRQ LV JLYHQ RQO\ XQGH
" 7KH VWXGHQW PXVW LQGLFDWH RQ WKH JUDGXDWLRQ DSSOLFDWLRQ WKDW WH
" 7KH VWXGHQW PXVW KDYH QR PRUH WKDQ XQLWV RU WZR FRXUVHV RXWVWDO
" 7KH VWXGHQW PXVW EH UHJLVWUHG E\ 0D\ LQ WKH UHPDLQLQJ FRXUVHZRU
([FHOVLRU RU '667 UHTXLUHG IRU WKH FRPSOHWLRQ RI WKHLU GHJUHH 5HJL
6XPPHU RU)DOO VPHVWHU
" 7KH VWXGHQW PXVW KDYH VXEPLWWHG DOO ([SHULHQWLDO /HDUQLQJ SRUWIR

6WXGHQWV ZLOO EH QRWLILHG E\ WKH 5HJLVWUDU\ V 2IILFH RI WKHLU HOLJLELOLW

'RPLQLFDQ 8QLYHUVLW\ RI & DOLIRUQLD *HQHUDO ,QIRUPDWLRQ \$FDGHPLF 3ROLFLHV DQG 3URFRGXUHV

9HULILFDWLRQ RI (QUROOPHQW DQG '

7KH 5HJLVWUDU\ V 2IILFH SURYLGHV YHULILFDWLRQ RI FXUUHQW RU SDVW HQURORP

(QUROOPHQW 9HULILFDWLRQ

8SRQ ZULWWHQ UHTXHVW WKH 5HJLVWUDU\ V 2IILFH ZLOO SURYLGH YHULILFDWLRQ

7 U D Q V F U L S W V

2IILFLDO DQG XQRIILFLDO FRSLHV RI D VWXGHQW\ V WUDQVFULSW DUH DYDLODEOH DFFHVV WKHLU XQRIILFLDO WUDQVFULSW RQOLQH E\ ORJJLQJ LQWR 6HOI 6HUYL FH

7UDQVFULSW UHTXHVWV IRU VWXGHQWV ZLWK KROGV RQ WKHLU DFFRXQW ZLOO QR SULQWHG RU DFFHVVHG WKURXJK 6HOI 6HUYL FH DUH SODFHG E\)LQDQFLDO \$LG RU DFFRXQW ZLWK D KROG WKH UHTXHVWHU LV QRWLILHG YLD HPDLO UHJDUGLQJ ZKD WKH KROG EHIRUH WKH WUDQVFULSW FDQ EH UHOHDVHG WKH 5HJLVWUDU\ V 2IILFH

)RU VWXGHQWV ZKR KDYH PDWULFXODWHG LQWR RU JUDGXDWHG IURP PRUH WKDQ FRXUVHV WKURXJK WKH 6FKRRO RI (GXFDWLRQ DQG &RXQVHOLQJ 3V\FKRORJ\ RU 'R RI WKHLU FRXUVHZRUN 7UDQVFULSWV FDQQRW EH UHTXHVWHG IRU RQO\ SDUW RI

2IILFLDO 7UDQVFULSWV

2IILFLDO WUDQVFULSWV LQFOXGH WKH QDPH RI WKH 8QLYHUVLW\ DQG DUH HPERV\ VLJQDWXUH 7KH\ DUH LQGLYLGXDOO\ VHDOHG LQ RIILFLDO WUDQVFULSW HQYHORS

)RU FXUUHQW LQIRUPDWLRQ UHJDUGLQJ WKH IHHV IRU RIILFLDO WUDQVFULSWV SO

8QRIILFLDO 7UDQVFULSWV

8QRIILFLDO WUDQVFULSWV GR QRW EHDU WKH 8QLYHUVLW\ VHDO RU WKH VLJQDWX DQG DUH QRW PDLOHG LQ RIILFLDO WUDQVFULSW HQYHORSHV 8QRIILFLDO WUDQVF DFFRXQW KROG FDQ YLHZ WKHLU XQRIILFLDO WUDQVFULSW WKURXJK 6HOI 6HUYL FH

5HTXHVWLQJ 7UDQVFULSWV

2IILFLDO DQG XQRIILFLDO WUDQVFULSWV DUH UHTXHVWHG WKURXJK WKH 'RPLQLFD &OHDULQJKRXVH IRXQG DW KWWSV ZZZ VWXGHQWFOHDULQJKRXVH RUJ VHFXUHBD

7UDQVFULSWV UHTXHVWHG WKURXJK WKLV VHULFH DUH SULQWHG E\ WKH 5HJLVWU WKH TXDQWLW\ RI WUDQVFULSWV WKDW FDQ EH RUGHUHG DW RQH WLPH

6WDQGDUG SURFHVVLQJ WLPH IRU RIILFLDO WUDQVFULSWV LV EXVLQHVV GD\ V EXVLQHVV GD\ 7UDQVFULSWV DUH DYDLODEOH IRU SLFNXS LQ WKH 5HJLVWUDU\ V 2 FODVV 86 PDLO)RU LQIRUPDWLRQ UHJDUGLQJ IDVWHU SURFHVVLQJ WLPHV RU PDL SOHDVH VHH WKH 5HJLVWUDU\ V 2IILFH ZHEVLWH

1RQ 'HJUHH 3URJUDP 2SWLRQV

6WXGHQWV QRW HQUROOHG LQ D GHJUHH SURJUDP DW 'RPLQLFDQ PD\ HQUROO LQ GHJUHH VHHNLQJ VWXGHQWV PD\ DOVR DXGLW FRXUVHV

1RQ 'HJUHH 6WXGHQWV 8QGHUJUDGXDWH &UHGLW

6WXGHQWV GHVLULQJ WR WDNH FRXUVHV IRU XQGHUJUDGXDWH FUHGLW EXW ZKR KVWXGHQWV PD\ HQUROO LQ FRXUVHV IRU XQGHUJUDGXDWH FUHGLW VXEMHFW WR VPXVW GHPRQVWUDWH HYLGHQFH RI VXLFLHQW SUHSUDWLRQ IRU WKH LQWHQGHGVSDFH DYDLODELOLW\ LQ WKH GHVLUHG FRXUVHV VFHFWLRQ V

1RQ GHJUHH VWXGHQWV PD\ WDNH D PD[LPXP RI VPHVWHU XQLWV RI XQGHUJUDGWKH WXLWLRQ UDWH FXUUHQW DW WKH WLPH RI HQUROOHPQW 1RQ GHJUHH VWXGH

1RQ GHJUHH VWXGHQWV ZKR ODWHU ZLVK WR DSSO\ IRU DGPLVVLRQ WR D GHJUHH IRU DGPLVVLRQ IRU WKH GHVLUHG SURJUDP DQG PXVW PHHW DOO DGPLVVLRQ FULXQGHUJUDGXDWH XQLWV HDUQHG E\ D QRQ GHJUHH VWXGHQW DW 'RPLQLFDQ PD\ EDW 'RPLQLFDQ XQOHVV WKH VWXGHQW VXEPLWV DQ DSSURYHG \$FDGHPLF 3HWLWLF

)RU IXUWKHU LQIRUPDWLRQ UHJDUGLQJ DGPLVVLRQ DV D QRQ GHJUHH VWXGHQW S

1RQ 'HJUHH 6WXGHQWV *UDGXDWH &UHGLW

6WXGHQWV GHVLULQJ WR WDNH FRXUVHV IRU JUDGXDWH FUHGLW EXW ZKR KDYH QRSRVW EDFFDODXUHDWH FHWLILFDWH SURJUDP RU FUHGHQWLDO SURJUDP PD\ HQDQ \$GPLVVLRQV UHSUHVHQWDWLYH DQG WKH JUDGXDWH SURJUDP GLUHFWRU JRYHUGHPRQVWUDWH HYLGHQFH RI DQ HDUQHG EDFFDODXUHDWH GHJUHH DQG VXLFLHQQRQ GHJUHH VWXGHQW LV VXEMHFW WR VSDFH DYDLODELOLW\ LQ WKH GHVLUHG F

1RQ GHJUHH VWXGHQWV PD\ WDNH D PD[LPXP RI VPHVWHU XQLWV RI JUDGXDWH WXLWLRQ UDWH FXUUHQW DW WKH WLPH RI HQUROOHPQW 1RQ GHJUHH VWXGHQWV

1RQ GHJUHH VWXGHQWV ZKR ODWHU ZLVK WR DSSO\ IRU DGPLVVLRQ WR D JUDGXDWH IRU DGPLVVLRQ IRU WKH GHVLUHG SURJUDP DQG PXVW PHHW DOO DGPLVVLRQ FULHDUQHG DV D QRQ GHJUHH VWXGHQW DW 'RPLQLFDQ PD\ EH XVHG WR IXOILOO UHTXWKH QXPEHU RI DSSOLFDEOH XQLWV HDUQHG DV D QRQ GHJUHH VWXGHQW PD\ QRWWUDQVIHU FUHGLW WKH PD[LPXP DOORZDEOH XQLWV RI WUDQVIHU FUHGLW

)RU IXUWKHU LQIRUPDWLRQ UHJDUGLQJ DGPLVVLRQ DV D QRQ GHJUHH VWXGHQW S ODQJXDJH LQVWUXFWLRQ DQG FUHDWLYH ZULWLQJ \$UW VWXGLR FRXUVHV PD\ RQW\$UW 'HSDUWPHQW

\$W WKH HQG RI WKH VPHVWHU DXGLWHG FRXUVHV ZLOO DSSHDU RQ D WUDQVFUL

\$XGLWLQJ &RXUVHV

5HJLVWUDU\ ZHEVLWH DQG JHW WKH LQVWUXFWRU\ VLJQDWXUH RQ WKH IRUPZKLFK WKH GHVLUHG FRXUVH LV RIIHUG WR DOORZ SULRULW\ IRU GHJUHH VHHNL

\$XGLWRUV DUH QRW SHUPLWWHG LQ 1XUVLQJ 2FFXSDWLRQDO 7KHUDS\ &OLQLFDQFRXUVHV WKDW UHTXLUH SHUVRQDO LQVWUXFWLRQ DQG RU LQGLYLGXDO SDUWLFLS

'RPLQLFDQ 8QLYHUVLW\ RI &DOLIRUQLD *HQHUDO ,QIRUPDWLRQ \$FDGHP

ODQJXDJDH LQVWUXFWLRQ RU FUHDWLYH ZULWLQJ \$UW VWXGLR FRXUVHV PD\ RQH
'HSDUWPHQW &KDLUSHUVRQ

\$XGLWLQJ D FRXUVH PHDQ WKDW WKH VWXGHQW DWWHQGV WKH FRXUVHV EXW LV
PD\ QRW DVN IRU WKHLU ZRUN WR EH HYDOXDWG DQG GRHV QRW UHFHLYH FUHGL

\$Q\RQH PD\ HQUROO LQ D FRXUVH DV DQ DXGLWRU VXEMHFW WR VSDFH DYDLODEO
HQUROO DXGLWRUV PXVW FRPSOHWH WKH SDSHU 5HJLVWUDWLRQ)RUP DYDLODEO
DQG FUHDWLYH ZULWLQJ \$UW VWXGLR FRXUVHV PD\ RQO\ EH DXGLWHG ZLWK WKH

'HJUHH 6HHNLQJ 6WXGHQWV DV \$XGLWRUV

'HJUHH VHHNLQJ VWXGHQWV PD\ DXGLW FRXUVHV HQUROOLQJ DV DQ DXGLWRU LV
WKURXJK 6HOI 6HUYL FH 8QGHUJUDGXDWH VWXGHQWV DUH FKDUJHG IRU DOO DXGL

'RPLQLFDQ \$OX PQL

*UDGXDW HV RI 'RPLQLFDQ PD\ DXGLW RQH FRXUVH SHU WHUP IUHH RI FKDUJH ZLW

6HQLRU &LWL]HQV

3HUVRQV RYHU WKH DJH RI PD\ DXGLW WZR FRXUVHV SHU WHUP IUHH RI FKDUJH
2QO\ WZR VHQLRU FLWL]HQV DUH SHUPLWWHG WR DXGLW HDFK HOLJLEOH FRXUVH

'RPLQLFDQ 6LVWHUV

'RPLQLFDQ 6LVWHUV RI 6DQ 5DIDHO PD\ DXGLW FRXUVHV IUHH RI FKDUJH \$ OHWWH
6HFRQG &RXQFLOORU VKRXOG EH SURYLGHG WR WKH 5HJLVWUDU\ V 2IILFH WKH ILU

&RPPXQLW\ OHPEHUV

3HUVRQV ZKR GR QRW ILW LQWR WKH DERYH FDWHJRULHV DUH HOLJLEOH WR DXGL
&RPSUHKHQVLYH)HH)RU IXUWKHU LQIRUPDWLRQ UHJDUGLQJ WKHVH IHHV SOHDVH

'RPLQLFDQ 8QLYHUVLW\ RI &DOLIRUQLD *HQHUDO ,QIRUPDWLRQ \$FDGHPLF 3ROLFLHV DQG 3URFRGXUHV